



Authorship dispute

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Scientific credit vs. Responsibility

- Substantial contributions
 - Drafting the work
 - Final approval of the work
 - Accountability for all aspects of the work
- Author: those who deserve credit and can take the responsibility
- Any other contribution: clinical investigators or participating investigators



Who meet the criteria?

- Responsibility of authors
- Responsibility of institution if there is any dispute
- Not responsibility of journal
- Dispute is different from misconduct



Authorship dispute

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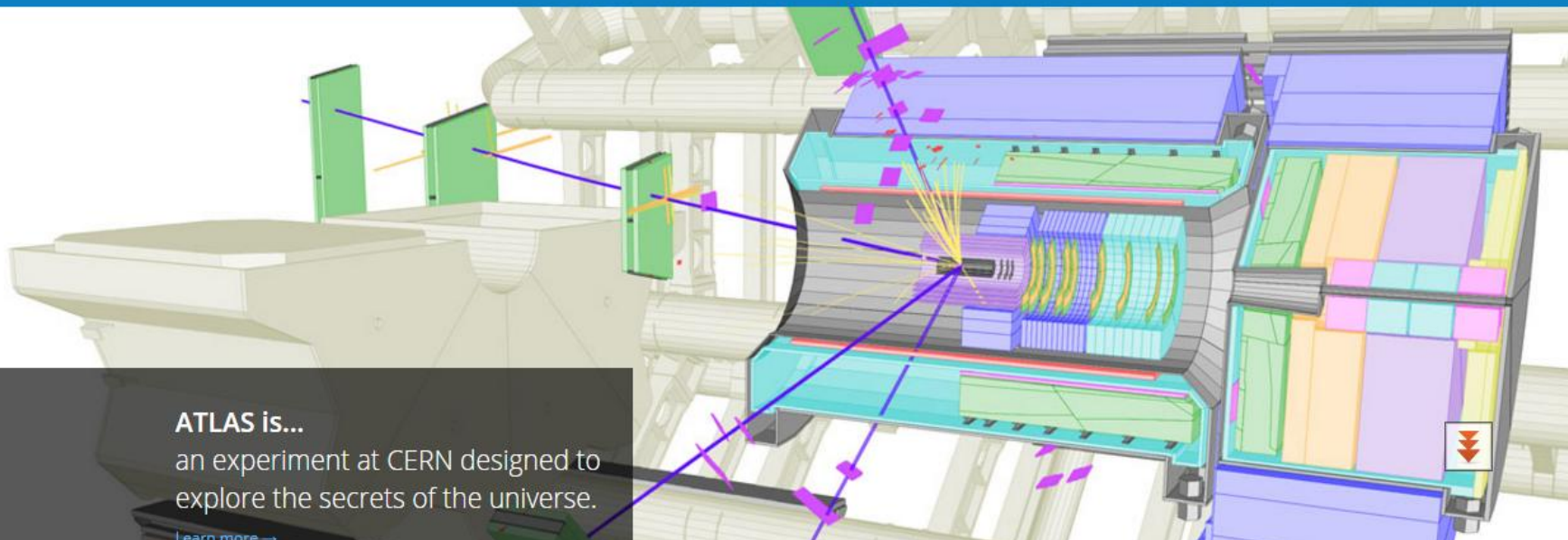
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Strategies to reduce authorship problems



- Encourage the culture of ethical authorship
 - Institutional policy for authorship

www.hopkinsmedicine.org/som/faculty/policies/facultypolicies/responsible_conduct.html

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Rules and Guidelines for Responsible Conduct of Research

- [I. Orientation and Guidance for Faculty](#)
- [II. Supervision of Students, Postdoctoral Fellows, and Other Research Personnel](#)
- [III. Data Gathering, Storage, Retention](#)
- [IV. Authorship](#)
- [V. Publication Practices](#)
- [VI. Research Unit Guidelines](#)
- [VII. Reporting Academic Misconduct](#)

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I. Orientation and Guidance for Faculty

General expectations for the academic conduct of faculty members and many specific requirements governing the conduct of research are set forth in the following documents:

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Strategies to reduce authorship problems



- Start discussing authorship when you plan your research
 - Decide about all aspects of authorships: conference abstract, the full papers, supplementary papers
 - Keep a written record of your decisions

The screenshot shows the Framingham Heart Study website. At the top right, there are links for 'Home | Make a Gift | Directions | Contact Us'. The main header features the Framingham Heart Study logo and the text 'A Project of the National Heart, Lung, and Blood Institute and Boston University'. Below the header is a navigation menu with links for 'About', 'Participants', 'Our Investigators', 'Risk Factors', 'Bibliography', and 'For Researchers', along with a search bar. The main content area is titled 'Publication Policy' and contains the following text:

All publications based on data from the Framingham Heart Study must have the following acknowledgement or a facsimile thereof:

"From the Framingham Heart Study of the National Heart Lung and Blood Institute of the National Institutes of Health and Boston University School of Medicine. This work was supported by the National Heart, Lung and Blood Institute's Framingham Heart Study (Contract No. N01-HC-25195)".

The FHS Publications Committee has set forth the following procedures by which manuscripts produced by FHS core and ancillary investigators are reviewed. Compliance is necessary in order for your publication to be included in the FHS Annual Report to the National Heart, Lung and Blood Institute (NHLBI) and in the Framingham Heart Study bibliography, which is updated yearly and posted on the Framingham Heart Study website. It is also important to notify the Framingham Heart Study of the complete reference when your article is published. The senior or corresponding author on each manuscript will be responsible for the implementation of these guidelines.

The procedure for review is as follows:

- All manuscripts and abstracts based on Framingham Heart Study data must be sent via e-mail to Ann Walsh, at walshar@nhlbi.nih.gov prior to submission for publication
- Those manuscripts with NHLBI authors or co-authors will be sent for review by NHLBI EBPOCS at least 30 days prior to submission for publication. Abstracts must be sent at least seven days prior to submission. The title page should include the address, phone number, fax number and e-mail address of the corresponding author, as well as acknowledgement of all support, especially the NHLBI contract number N01-HC-25195. The NHLBI will review the manuscript and notify the corresponding



Strategies to reduce authorship problems



- Decide authorship before you start each article
 - Discuss the authorship face to face within the team
 - Keep everyone informed of any changes with a written note



Strategies to reduce authorship problems



- Decide on corresponding author and first author
 - Corresponding author: sometimes is related to seniority but administrative in editors point of view
 - First author: Probably the most important position is the first author
 - Last author: those who contribute experience and guidance



Strategies to reduce authorship problems



- Order of authors: is a joint decision of the coauthors
 - Sometimes list authors alphabetically
 - Sometimes write a note to explain that all authors have equal contribution



Choices if dispute is not resolved

1. Withdraw the name before publication
2. Ask the journal for correction (appeals)